



Corporate Account Opening Application Form

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Introduction

Welcome to Hantec Markets Limited

Hantec Markets Limited (HML) is authorised and regulated by the Financial Services Authority (FSA Firm Reference No. 502635). Our principal place of business is Hantec House, 12-14 Wilfred Street, London SW1E 6PL.

You should read this Account Opening Application Form and the Terms and Conditions carefully before signing them. If you have any questions or do not understand any point please contact us on +44 (0) 20 7036 0888 or by email at accounts@hantecfx.com and a member of our friendly and experienced Customer Services team will be happy to assist you with this application.

At Hantec Markets we strive to provide you with the very best in online financial trading facilities with No Dealing Desk Forex, CFDs and Bullion Execution. This means the competitive spreads available from Hantec are streamed to you for major currency pairs and are available via Metatrader 4 (MT4), one of the world's leading online financial trading platforms and Currenex, one of the leading forex liquidity providers.

Hantec Markets Limited is a leading Forex, CFD and Bullion trading house. Founded in 1990, the Hantec Group has undergone dynamic expansion by extending its network from China to Japan, Australia, New Zealand and Europe. In 2008, the Hantec Group underwent a strategic reorganisation to optimise the business performance, enlarge overseas market and enhance customer service quality by offering tailor-made and retail Forex, CFD and Bullion services which led to the creation of Hantec Markets Limited.

By completing this Account Opening Application Form, you are making an offer to enter into a binding contract with Hantec Markets Limited.

Hantec Markets – Trust Through Transparency

Risk Warning

Trading in foreign exchange and bullion, particularly margin trading, involves the potential for profit as well as the risk of loss which may vastly exceed the amount of money you commit to any trade or transaction. Movements in the price of foreign exchange or bullion rates are influenced by a variety of factors of global origin many of which are unpredictable. Violent movements in the price of foreign exchange or bullion rates may result in action by the market as a result of which you may be unable to settle adverse trades. Staff of Hantec Markets Limited are unable to guarantee the accuracy of any market predictions (should they offer such predictions) and cannot guarantee a maximum loss that you may suffer.

1. Account Details

1.1 Base Currency

Please tick the appropriate box (only one choice is accepted):

Base Currency: USD EUR YEN GBP CHF
 CAD AUD CNY

1.2. Margin Requirement

HML requires a deposit of a certain percentage of the Contract's value (called an "Initial Margin") in respect of any anticipated or existing open positions which the Client has or will have with HML. The Initial Margin applicable to this trading account is set out below. HML can change these amounts (but will provide notice to you if it chooses to exercise this discretion).

At a certain percentage of the National Contract Amount:

Initial Margin 0.5% (200:1 leverage) or
 1% (100:1 leverage)

Please note 0.5% Initial Margin is only available for accounts up to USD 25,000

1.3. Referred by

2. Account Details

2.1 Entity Name

2.2 Registered Number

2.3 Name of account (if different from above)

2.4 Registered address of entity

2.5 Address for correspondence and notices if different from above (we will send all correspondence to this address)

2.6 Jurisdiction of incorporation (if applicable)

2.7 Please list all trading names

2.8 If the entity is financial sector regulated please state name of regulator

2.9 Please attach a copy of the entity's board resolution (certified by an officer of the entity) approving the account opening in the form set out as attached to this Account Opening Application Form.

Attached

2.10 Listed entity

If the entity or any parent/controller is listed on a stock exchange, please provide evidence of listing and where appropriate of the relationship between the entity and its listed parent/controller.

- Evidence of the entity's or parent's/controller's listing attached (e.g. copy of the website page of the relevant stock exchange showing the listing (and showing the date))
- Evidence of relationship with the listed party attached (e.g. latest audited financial statements)

Anti-money laundering, know your customer requirements and Data Protection Act

In order to comply with regulations for the avoidance of money laundering and terrorist financing we will require you to provide such additional information and documentary proof of identity as we deem necessary. We will process your personal data in accordance with our obligations under the Data Protection Act 1998 and other applicable data protection legislation. In providing you with our services it may be necessary for us to disclose your personal details to third parties. Full details of disclosures are contained in our Terms and Conditions.

3. Private Entities

3.1 Please provide a list of the entity's directors, partners or members

List attached

3.2 If the entity is a company please provide a list of the shareholders

List attached

4. Additional Information for all Private Entities established in Non FATF Countries

This article 4 only applies to entities registered in non FATF countries.

The following countries and organisations are members of the Financial Action Task Force (FATF)

Argentina, Australia, Austria, Belgium, Brazil, Canada, China, Denmark, European Commission, Finland, France, Germany, Greece, Gulf Co-operation Council, Hong Kong, China, Iceland, India, Ireland, Italy, Japan, Luxembourg, Mexico, Kingdom of the Netherlands, New Zealand, Norway, Portugal, Republic of Korea, Russian Federation, Singapore, South Africa, Spain, Sweden, Switzerland, Turkey, United Kingdom, United States.

(The Channel Islands and the Isle of Man are deemed equivalent for this exercise)

If the entity is registered in a country not mentioned in the above list, please provide the following information for all Shareholders/Partners/Members/Controllers owning or controlling 20% or more of the entity.

PART A

Shareholder Partner Member Controller

4.1 Full Name

4.2 Home address

4.3 Date of birth

4.4 Nationality

4.5 Home telephone number

4.6 Email Address

PART B

- Shareholder
- Partner
- Member
- Controller

4.7 Full Name

4.8 Home address

4.9 Date of birth

4.10 Nationality

4.11 Home telephone number

4.12 Email Address

PART C

- Shareholder
- Partner
- Member
- Controller

4.13 Full Name

4.14 Home address

4.15 Date of birth

4.16 Nationality

4.17 Home telephone number

4.18 Email Address

PART D

- Shareholder
- Partner
- Member
- Controller

4.19 Full Name

4.20 Home address

4.21 Date of birth

4.22 Nationality

4.23 Home telephone number

4.24 Email Address

If there are additional Shareholders/Partners/Members/Controllers, please provide the requisite information on separate sheets of paper.

5. Financial Circumstances

We require the following information about the financial circumstances of the entity to enable us to assess the suitability of any investment mandate you choose. If you do not provide this information we may not be in a position to provide the services to you.

Please provide a copy of your latest audited financial statements. If not available please detail your assets, liabilities, income and expenditure.

5.1 Amount of risk capital available for trading

5.2 Anticipated initial deposit

6. Investment Experience

Please provide details of your previous investment experience.

6.1 Spot & Forward Foreign Exchange/Bullion

Length of experience (please select one of the following)

- none
- less than 6 months
- 6 months - 2 years
- 2 years - 5 years
- more than 5 years

Approximate frequency of trading

Approximate average of deal size

6.2 Shares, Bonds & Funds

Length of experience (please select one of the following)

- none
- less than 6 months
- 6 months - 2 years
- 2 years - 5 years
- more than 5 years

Approximate frequency of trading

Approximate average of deal size

6.3 Futures/CFD's/Spreadbets

Length of experience (please select one of the following)

- none
- less than 6 months
- 6 months - 2 years
- 2 years - 5 years
- more than 5 years

Approximate frequency of trading

Approximate average of deal size

6.4 Options

Length of experience (please select one of the following)

- none
- less than 6 months
- 6 months - 2 years
- 2 years - 5 years
- more than 5 years

Approximate frequency of trading

Approximate average of deal size

6.5 How have you mostly traded these instruments?

- Execution only
- Managed

7. Investment Objectives

Please read the following before indicating your objective.

Understanding risk

FSA rules require us when providing investment services to a retail customer, to take reasonable steps to enable the client to understand the nature of the risks involved. All forms of investment which we may deal in on your behalf involve risk. The value of investments and the income derived from them can fall as well as rise and is not guaranteed. Please read the risk warnings set out in the Terms and Conditions.

7.1 Please tick the appropriate box (only one choice is accepted)

- Capital Growth Speculation Income
- Hedging Other (Please specify)

8. Client Money

In most cases client money services will be provided by us in relation to your account. If, however, you have agreed with us that you will appoint a third party (such as a custodian) to deal with us in relation to client money please specify their details below:

8.1 Full Name of Custodian**8.2 Address****8.3 Account designation****8.4 Contact Name****9. Bankers**

Please provide details of the bank accounts into which you wish to make payments in relation to your account.

Account Holder 1**9.1 Name of Bank****9.2 Sort Code****9.3 Account Number****9.4 Account Name****9.5 Building Society Ref. (if applicable)****9.6 IBAN****9.7 Swift Code (for Non-Sterling Accounts)****Account Holder 2 (if applicable)****9.8 Name of Bank****9.9 Sort Code****9.10 Account Number****9.11 Account Name****9.12 Building Society Ref. (if applicable)****9.13 IBAN****9.14 Swift Code (for Non-Sterling Accounts)**

Agreement by Client

By signing this Account Opening Application Form, you:

- confirm that you have read and agree to be bound by the provisions of this Account Opening Application Form and the Terms and Conditions;
- confirm that the information you have provided in this Account Opening Application Form is complete and accurate and accept that your failure to provide complete and accurate information may affect adversely our ability to assess the suitability of your chosen mandate against your personal and financial circumstances;
- authorise us to rely on the instructions set out in this Account Opening Application Form;
- confirm that you have read and understood the risk warnings in the Terms and Conditions;
- consent to our order execution policy;
- consent to receipt of statements and other communications from us by email;
- consent to the arrangements for the custody of your assets described in the Terms and Conditions.

Please click the We Accept button below. Your use of the services will be treated as your informed acknowledgement that you have carefully read and are prepared to accept the provisions of this Account Opening Application Form and the Terms and Conditions.

- We Accept these Terms and Conditions
- We attach a certified copy of a resolution held at a meeting of the directors/ members/partners of the entity duly convened and held confirming our agreement to the above.

Certified Board Resolution

Name

- Company Secretary Director Partner Member

Entity's name (the firm)

Certify that the following resolutions were duly passed by the Directors of the Firm at a meeting held on (insert date)

It was resolved:

1. That account(s) (**the Account(s)**) be opened in the name of the Firm for the purpose of entering into Forex, CFD and Bullion transactions with Hantec Markets Limited (**Hantec**) and any related or ancillary transactions.
2. That an agreement be entered into in connection with the opening of the Accounts (**the Agreement**) and that all transactions entered into by the Firm shall be subject to the terms of the Agreement as amended from time to time.
3. That each of the persons whose names and specimen signatures appear below (**Authorised Signatories**) shall be and are hereby jointly and severally authorised to sign any document in connection with the opening or operation of the Account(s), including, without limitation, the Agreement and any document creating, perfecting or relating to any mortgage, charge or encumbrance over the Firm's assets and to give any oral or written instructions with respect to the Account(s) including, without limitation, instructions to effect or otherwise enter into transactions with or on behalf of the Firm.

Authorised Signature 1

Name

Title

Specimen Signature

Authorised Signature 2

Name

Title

Specimen Signature

4. That any transactions of any description whatsoever previously entered into by the Firm with or through Hantec be and are hereby ratified and approved.
5. That these Resolutions be communicated to Hantec and shall remain in force and that Hantec shall be entitled to rely on the same until an amending resolution shall be passed and a copy certified by an officer of the Firm shall have been received by Hantec.

I further certify that there is no legal or other reason why the Firm should not conduct this business.

Signature of

- Company Secretary Director Partner Member

Date