



Joint Account Opening Application Form

Content

| | |
|----------------------------------|---|
| Introduction | 2 |
| Account Opening Application Form | 3 |

Introduction

Welcome to Hantec Markets Limited

Hantec Markets Limited (HML) is authorised and regulated by the Financial Services Authority (FSA Firm Reference No. 502635). Our principal place of business is Hantec House, 12-14 Wilfred Street, London SW1E 6PL.

You should read this Account Opening Application Form and the Terms and Conditions carefully before signing them. If you have any questions or do not understand any point please contact us on +44 (0) 20 7036 0888 or by email at accounts@hantecfx.com and a member of our friendly and experienced Customer Services team will be happy to assist you with this application.

At Hantec Markets we strive to provide you with the very best in online financial trading facilities with No Dealing Desk Forex, CFDs and Bullion Execution. This means the competitive spreads available from Hantec are streamed to you for major currency pairs and are available via Metatrader 4 (MT4), one of the world's leading online financial trading platforms and Currenex, one of the leading forex liquidity providers.

Hantec Markets Limited is a leading Forex, CFD and Bullion trading house. Founded in 1990, the Hantec Group has undergone dynamic expansion by extending its network from China to Japan, Australia, New Zealand and Europe. In 2008, the Hantec Group underwent a strategic reorganisation to optimise the business performance, enlarge overseas market and enhance customer service quality by offering tailor-made and retail Forex, CFD and Bullion services which led to the creation of Hantec Markets Limited. By completing this Account Opening Application Form, you are making an offer to enter into a binding contract with Hantec Markets Limited.

Hantec Markets – Trust Through Transparency

Risk Warning

Trading in foreign exchange and bullion, particularly margin trading, involves the potential for profit as well as the risk of loss which may vastly exceed the amount of money you commit to any trade or transaction. Movements in the price of foreign exchange or bullion rates are influenced by a variety of factors of global origin many of which are unpredictable. Violent movements in the price of foreign exchange or bullion rates may result in action by the market as a result of which you may be unable to settle adverse trades. Staff of Hantec Markets Limited are unable to guarantee the accuracy of any market predictions (should they offer such predictions) and cannot guarantee a maximum loss that you may suffer.

1. Account Details

1.1 Base Currency

Please tick the appropriate box (only one choice is accepted):

Base Currency: USD EUR YEN GBP CHF
 CAD AUD CNY

1.2. Margin Requirement

HML requires a deposit of a certain percentage of the Contract's value (called an "Initial Margin") in respect of any anticipated or existing open positions which the Client has or will have with HML. The Initial Margin applicable to this trading account is set out below. HML can change these amounts (but will provide notice to you if it chooses to exercise this discretion).

At a certain percentage of the National Contract Amount:

Initial Margin 0.5% (200:1 leverage) or
 1% (100:1 leverage)

Please note 0.5% Initial Margin is only available for accounts up to USD 25,000

1.3. Referred by

2. Client Details – Account Holder 1

2.1 First Name

2.2 Surname

2.3 Date of Birth

2.4 Gender Male Female

2.5 Employment Status Employed Self-Employed
 Unemployed Retired

2.6 Business Field

2.7 Position / Occupation

2.8 Residential Address

2.9 Telephone Number

2.10 Email Address (please use clear block letters)

3. Bank Details – Account Holder 1

Please provide details of the bank accounts you will use for money transfers in and out of your HML trading account. Only bank accounts that have been registered with HML can be used to process withdrawals. Please notify us if any of the provided bank details change in the future.

3.1 Name of Bank

3.2 Bank Account Number

3.3 Bank Account Name

3.4 IBAN Number

3.5 Swift Number

4. Financial Information – Account Holder 1

In order to comply with regulations and for the avoidance of money laundering and terrorist financing we will require you to provide such additional information and documentary proof of identity as we deem necessary. We will process your personal data in accordance with our obligations under the Data Protection Act 1998 and other applicable data protection legislation. In providing you with our services it may be necessary for us to disclose your personal details to third parties. Full details of disclosures are contained in our Terms and Conditions.

We require the following information about your personal and financial circumstances to enable us to assess the suitability of any investment mandate you choose. If you do not provide this information we may not be in a position to provide the services to you.

4.1 Annual income (please only tick one box)

Less than USD 25,000 USD 25,000 to USD 99,999
 USD 100,000 to USD 249,999 USD 250,000 +

4.2 Liquid net worth/Assets (excluding property) – (please only tick one box)

Less than USD 10,000 USD 10,000 to USD 49,999
 USD 50,000 to USD 99,999 USD 100,000 to USD 349,999
 USD 350,000 to USD 999,999 USD 1,000,000 +

4.3 Other Assets (specify value)

4.4 Amount of risk capital available for trading?

4.5 Anticipated initial deposit

4.6 Please specify the original source of your capital:

Employment Investment Inheritance Other (please specify)

5. Investment Objectives – Account Holder 1

Please read the following before indicating your objective:

Understanding risk

FSA rules require us when providing investment services to a retail customer, to take reasonable steps to enable the client to understand the nature of the risks involved. All forms of investment which we may deal in on your behalf involve risk. The value of investments and the income derived from them can fall as well as rise and is not guaranteed. Please read the risk warnings set out in the Terms and Conditions.

5.1 Please tick the appropriate box (only one choice is accepted):

- Capital Growth Speculation Income
- Hedging Other (Please specify)

6. Investment Experience – Account Holder 1

Please answer the following questions which relate to your experience and knowledge in securities and derivatives transactions. This will ensure that we are able to provide you with the advice and service most appropriate for your individual circumstances.

6.1 Spot & Forward Foreign Exchange/Bullion

Length of experience (please select one of the following):

- none
- less than 6 months
- 6 months - 2 years
- 2 years - 5 years
- more than 5 years

Approximate frequency of trading:

Approximate average of deal size:

6.2 Shares, Bonds & Funds

Length of experience (please select one of the following):

- none
- less than 6 months
- 6 months - 2 years
- 2 years - 5 years
- more than 5 years

Approximate frequency of trading:

Approximate average of deal size:

6.3 Futures/CFD's/Spreadbets

Length of experience (please select one of the following):

- none
- less than 6 months
- 6 months - 2 years
- 2 years - 5 years
- more than 5 years

Approximate frequency of trading:

Approximate average of deal size:

6.4 Options

Length of experience (please select one of the following):

- none
- less than 6 months
- 6 months - 2 years
- 2 years - 5 years
- more than 5 years

Approximate frequency of trading:

Approximate average of deal size:

6.5 How have you mostly traded these instruments?

- Execution only
- Managed

7 Client Details – Account Holder 2

7.1 First Name

7.2 Surname

7.3 Date of Birth

7.4 Gender Male Female

7.5 Employment Status

- Employed Self-Employed
- Unemployed Retired

7.6 Business Field

7.7 Position / Occupation

7.8 Residential Address

7.9 Telephone Number

7.10 Email Address (please use clear block letters)

8. Bank Details – Account Holder 2

Please provide details of the bank accounts you will use for money transfers in and out of your HML trading account. Only bank accounts that have been registered with HML can be used to process withdrawals. Please notify us if any of the provided bank details change in the future.

8.1 Name of Bank

8.2 Bank Account Number

8.3 Bank Account Name

8.4 IBAN Number

8.5 Swift Number

9. Financial Information – Account Holder 2

In order to comply with regulations and for the avoidance of money laundering and terrorist financing we will require you to provide such additional information and documentary proof of identity as we deem necessary. We will process your personal data in accordance with our obligations under the Data Protection Act 1998 and other applicable data protection legislation. In providing you with our services it may be necessary for us to disclose your personal details to third parties. Full details of disclosures are contained in our Terms and Conditions.

We require the following information about your personal and financial circumstances to enable us to assess the suitability of any investment mandate you choose. If you do not provide this information we may not be in a position to provide the services to you.

9.1 Annual income (please only tick one box)

- Less than USD 25,000 USD 25,000 to USD 99,999
 USD 100,000 to USD 249,999 USD 250,000 +

9.2 Liquid net worth/Assets (excluding property) – (please only tick one box)

- Less than USD 10,000 USD 10,000 to USD 49,999
 USD 50,000 to USD 99,999 USD 100,000 to USD 349,999
 USD 350,000 to USD 999,999 USD 1,000,000 +

9.3 Other Assets (specify value)

9.4 Amount of risk capital available for trading?

9.5 Anticipated initial deposit

9.6 Please specify the original source of your capital:

- Employment Investment Inheritance Other (please specify)

10. Investment Objectives – Account Holder 2

Please read the following before indicating your objective:

Understanding risk

FSA rules require us when providing investment services to a retail customer, to take reasonable steps to enable the client to understand the nature of the risks involved. All forms of investment which we may deal in on your behalf involve risk. The value of investments and the income derived from them can fall as well as rise and is not guaranteed. Please read the risk warnings set out in the Terms and Conditions.

10.1 Please tick the appropriate box (only one choice is accepted):

- Capital Growth Speculation Income
 Hedging Other (Please specify)

11. Investment Experience – Account Holder 2

Please answer the following questions which relate to your experience and knowledge in securities and derivatives transactions. This will ensure that we are able to provide you with the advice and service most appropriate for your individual circumstances.

11.1 Spot & Forward Foreign Exchange/Bullion

Length of experience (please select one of the following):

- none
 less than 6 months
 6 months - 2 years
 2 years - 5 years
 more than 5 years

Approximate frequency of trading:

Approximate average of deal size:

11.2 Shares, Bonds & Funds

Length of experience (please select one of the following):

- none
 less than 6 months
 6 months - 2 years
 2 years - 5 years
 more than 5 years

Approximate frequency of trading:

Approximate average of deal size:

11.3 Futures/CFD's/Spreadbets

Length of experience (please select one of the following):

- none
- less than 6 months
- 6 months - 2 years
- 2 years - 5 years
- more than 5 years

Approximate frequency of trading:

Approximate average of deal size:

11.4 Options

Length of experience (please select one of the following):

- none
- less than 6 months
- 6 months - 2 years
- 2 years - 5 years
- more than 5 years

Approximate frequency of trading:

Approximate average of deal size:

11.5 How have you mostly traded these instruments?

- Execution only
- Managed

Required Account Supporting Documents

For each individual account, you must provide one item from list A and another item from list B below:

List A – Proof of ID

- Passport
- Drivers licence
- National identity Card
- Other Government issued Photo ID
- Signed & Notarised Financial Statement

The proof of ID must have at least three months left before expiry.

List B – Proof of Residence

- Utility bill (not mobile phone or printed from Internet)
- Council Tax demand
- Other documentary proof of address issued by a public body

The Proof of Residence must be dated within the last three months. The utility bill cannot be for a mobile phone and cannot be printed from the Internet.

Acknowledgements

Please tick every box to indicate that you understand each acknowledgement

By signing this Account Opening Application Form, you:

- confirm that you have read, understood and agree to be bound by the provisions of this Account Opening Application Form;
- confirm that you have read, understood and agree to be bound by the HML Terms and Conditions which have been provided to you;
- confirm that the information you have provided in this Account Opening Application Form is complete and accurate and you accept that your failure to provide accurate information may affect adversely HML's ability to assess the suitability of your chosen mandate against your personal and financial circumstances;
- authorise HML to rely on the instructions set out in the Account Opening Application Form;
- confirm that you have read and understood the risk warning in the Terms and Conditions;
- consent to HML's order execution policy;
- consent to receipt of statements and other communications from HML by email;
- consent to the arrangements for the custody of your assets described in the Terms and Conditions.
- understand that if my account has been introduced by an Introducing Broker / IB, the IB may receive a commission/rebate from HML;
- understand that by properly completing the Account Opening Application Form and signing these Acknowledgements, it merely constitutes an offer to open an account with HML;
- understand that if HML accepts your application to open an account, HML will formally communicate its acceptance by Notice to you as set out in the Terms and Conditions. Only then will there be a binding agreement between HML and you;
- understand that you should seek independent legal, financial and taxation advice in relation to the HML Terms and Conditions, and the HML Account Opening Application prior to signing below;
- understand the financial risks associated with leveraged foreign exchange and bullion trading, including the risk that you can lose more money than you deposit;
- understand that in the event of any inconsistency between the English language version of this form and the translated equivalent in any other language, the English language version shall prevail, to the extent of any inconsistency.

Please sign and return this document to HML or submit it using the online application interface.

Your use of the services will be treated as your informed acknowledgement that you have carefully read and are prepared to accept the provisions of this Account Opening Application Form and the Terms and Conditions regardless of whether or not you have returned a signed copy to us.

I hereby offer to open an account with HML on the terms set out in the various documents that constitute this Account Opening Application Form. I understand that if HML accepts my application to use its services, it will confirm acceptance by issuing me with written confirmation either by post, email or other electronic means, using the details contained in the relevant Particulars section of the Hantec Markets Limited Account Opening Application Form.

Execution

SIGNED BY

Name of Account Holder 1

Signature of Account Holder 1

Date:

Name of Account Holder 2

Signature of Account Holder 2

Date: