



Corporate Account Opening Application

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Introduction

Welcome to Hantec Markets.

At Hantec Markets we strive to provide you with the very best in online financial trading facilities with No Dealing Desk Forex and Bullion Execution. This means the competitive spreads available from Hantec are streamed to you for major currency pairs and are available via Metatrader 4 (MT4), one of the world's leading online financial trading platforms and Currenex, one of the leading liquidity providers to financial institutions.

Hantec Markets Limited is a leading, Forex and Bullion trading house. We are authorised and regulated by the Financial Services Authority (FSA Register No: 502635). Please note all client accounts are opened with our sister company, Hantec Markets (Australia) Pty Ltd., who are regulated by the Australian Securities and Investments Commission (ASIC) (License Number: 326907).

Hantec Markets Limited and Hantec Markets Australia are members of the Hantec Group. Founded in 1990 the Hantec Group has undergone dynamic expansion, by extending its network from China to Japan, Australia, New Zealand and now Europe.

In 2008, the Hantec Group underwent a strategic reorganisation. Its purpose to optimise the business performance, enlarge overseas market and enhance customer service quality by offering tailor-made and retail Forex and Bullion services.

This bundle of documents is collectively referred to as the "Account Opening Form". By completing the Acknowledgements and the Execution section at the back of this Account Opening Form, you are making an offer to enter into a binding contract with Hantec Markets (Australia) Pty Ltd and Hantec Markets Ltd (that latter being incorporated in the UK). This is the first step in setting up your trading account with us.

If you have any questions please do not hesitate to contact us on +44 (0) 20 7036 0888 or via email, accounts@hantecfx.com where you will always find a member of our friendly and experienced Customer Services team is available to help you to complete the process.

Hantec Markets - Your Trading Partner.

Risk Warning

Trading in foreign exchange and bullion, particularly margin trading, involves the potential for profit as well as the risk of loss which may vastly exceed the amount of money you commit to any trade or transaction. Movements in the price of foreign exchange or bullion rates are influenced by a variety of factors of global origin many of which are unpredictable. Violent movements in the price of foreign exchange or bullion rates may result in action by the market as a result of which you may be unable to settle adverse trades. Staff of HMA are unable to guarantee the accuracy of any market predictions (should they offer such predictions) and cannot guarantee a maximum loss that you may suffer.

Account No. :

Hantec Markets (Australia) Pty Ltd (ACN 129 943 086) Account Opening Application

Note: This form is subject to the HMA Terms and Conditions, the HML Supplemental Customer Agreement, the FSG and the PDS. To the extent permitted by Australian and English law, in the event of inconsistency, the HMA Terms and Conditions will prevail to the extent of that inconsistency.

1. Base Currency

Please tick the appropriate box (only one choice is accepted):

Base Currency: USD YEN AUD EUR GBP CHF

Other:

2. Margin Requirement

As described in Section 4 of the Product Disclosure Statement which is attached to this Account Opening Application, before executing a Contract, HMA may in its absolute discretion require a deposit of a fixed amount or a certain percentage of the Contract's value (called an "Initial Margin") in respect of any anticipated or existing open positions which the Client has or will have with HMA. The Initial Margin and Forced Liquidation level applicable to this trading account is set out below. HMA can change these amounts (but will provide notice to you if it chooses to exercise this discretion).

At a certain percentage of the Notional Contract Amount:

Initial Margin 0.5% or 1%

Forced Liquidation 0.05% 0.1%

Please note 0.5% Initial Margin is only available to accounts up to \$25,000

At a fixed amount per Contract:

Initial Margin USD \$500 or USD \$1,000

Forced Liquidation USD \$50 USD \$100

Please note USD \$500 fixed amount per Contract is only available to accounts up to \$25,000

3. Introducing Broker (IB) Commission

In addition to any charges or fees payable to HMA, I/We understand that the IB may receive a commission/rebate. Commission and rebates are included in the Financial Services Guide which is one of the documents included in this Account Opening Form.

4. Receiving Correspondence

HMA reserves the right to send all correspondence by email unless agreed otherwise with you.

5. Receiving Password Notification

Please tick the appropriate box (only one choice is accepted)

Please notify me/us of the account password by phone, letter or email. I / We understand that my/our personal information will be inquired of as a means to verify my /our identity before a password is released over the telephone. I also understand that the password is strictly confidential. I /We take full responsibility for all transactions, loss, costs and expenses, should any transaction be accepted and concluded by HMA after the password is provided to me /us.

Please send me /us the account password by letter.

6. Disclaimer Relating to the Receipt of Non-Original Copies

I /We hereby authorize HMA to accept any fax message or electronic documents from me/us as the original instruction, and I /we shall fully indemnify HMA from and against all losses, damages, interest, costs, expenses, actions, demands, claims, proceedings whatsoever which may incur, or which HMA may suffer or sustain, as a result of or arising from the exercise of that instruction. This indemnity is in addition to any other indemnities contained in the Terms and Conditions.

7. Particulars if the Client is a Company (For Company Account Only)

7.1 Full Company Name

7.2 Business or Trade Name (Please Fill in, if the Name Differs From Above)

7.3 Country of Registration / Formation / Incorporation

7.4 Registration or Identification Number

7.5 Principal Place of Business (Not PO Box)

7.6 Email Address (Please Use Clear, Block Letters)

7.7 Full Name of Company Directors (For Private Company Only)

1

2

3

7.8 Full Name of All People Who are Beneficial Owners of the Company Through Shareholdings of More Than 25% of the Company's Issued Capital

1

2

3

4

Residential Address For Each Listed Person Above

1

2

3

4

* For company clients, please attach certified copies of all of the following items:

- A copy of Business Registration Certificate; and
- A copy of Certificate of Incorporation; and
- Memorandum and Article of Association of Incorporation or equivalent documents; and
- Copies of identification** proofs of directors and authorised users; and
- Copies of identification** proofs of all natural people who are beneficial owners of the company through shareholdings of more than 25% of the company's issued capital; and
- Legal documents that show the name of directors and shareholders of the corporate; and
- Certified extract of minutes of the Company resolution that approves the account opening, trading, and signing authorisation.

If the Client does not have any of these documents, then please contact HMA. HMA will tell the Client what further identification is required.

**Identification proofs refer to a National ID / Government issued ID Card; or Passport; or a valid government-issued Driver's Licence.

8. Financial Information

Please tick the appropriate box (only one choice is accepted)

8.1 Annual Income/Profit

- Less than USD 25,000 USD 25,000 to USD 99,999
 USD 100,000 to USD 249,999 USD 250,000 +

8.2 Liquid net worth/Assets (excluding property)

- Less than USD 10,000 USD 10,000 to USD 49,999
 USD 50,000 to USD 99,999 USD 100,000 to USD 349,999
 USD 350,000 to USD 999,999 USD 1,000,000 +

8.3 Other Assets (specify value)

8.4 Risk capital available for trading?

8.5 Source of these funds:

- Employment Investment Inheritance Other (specify)

9. Investment Objectives

9.1 Please tick the appropriate box (only one choice is accepted):

- Capital Growth Speculation Hedging Other

9.2 Please explain the above selection(s):

10. Experience

Your investment experience

Please answer the following questions which relate to your experience and knowledge in securities and derivatives transactions. This will ensure that we are able to provide you with the advice and service most appropriate for your individual circumstances.

10.1 Spot & Forward Foreign Exchange/Bullion

Length of experience:

- none
 less than 6 months
 6 months - 2 years
 2 years – 5 years
 more than 5 years

Approximate frequency of dealing:

Approximate size of average deal:

10.2 Shares, Bonds & Funds

Length of experience:

- none
 less than 6 months
 6 months - 2 years
 2 years – 5 years
 more than 5 years

Approximate frequency of dealing:

Approximate size of average deal:

10.3 Futures/CFD's/Spreadbets

Length of experience:

- none
 less than 6 months
 6 months - 2 years
 2 years – 5 years
 more than 5 years

Approximate frequency of dealing:

Approximate size of average deal:

10.4 Options

Length of experience:

- none
 less than 6 months
 6 months - 2 years
 2 years – 5 years
 more than 5 years

Approximate frequency of dealing:

Approximate size of average deal:

Use of Financial Information and Experience

Note: HMA cannot provide personal advice that takes into account a person's (including the Client's) circumstances, objectives, financial situation (including a Client's Financial Information and Investment Experience). If HMA provides any general advice, it would be general only and may not be appropriate to your circumstances, objections, or financial situation, see our Financial Services Guide and Product Disclosure Statement before making a decision to use HMA's services and for more information about HMA.

11. Signature Specimen of Authorised User(s) (For Company Account Only)

The account can be operated on the instruction of any one of the authorised user(s) as set out in the Schedule to the Certified Extract of Minutes of Resolutions attached to this Account Opening Application.

Acknowledgements

(Please tick every box to indicate that you understand each acknowledgement)

I /We do hereby confirm and acknowledge the following:

- that I/We have read, understood and agree to be bound by the Hantec Markets (Australia) Pty Ltd Account Opening Application.
- that I /We have read, understood and agree to be bound to the HML Supplemental Customer Agreement which has been provided to me /us;
- that I /We have read, understood and agree to be bound to the HMA Terms and Conditions which have been provided to me /us;
- that by properly completing and signing these Acknowledgements, it merely constitutes an offer to open an account with HMA;
- that if HMA accepts my /our application to open an account, it will formally communicate its acceptance by Notice to me /us as set out in the Terms and Conditions. Only then will there be a binding agreement between HMA and me /us;
- I /We understand that I /we should seek independent legal, financial and taxation advice in relation to the HMA Terms and Conditions HML Supplemental Customer Agreement and the Hantec Markets (Australia) Pty Ltd Account Opening Application prior to signing below;
- that HMA has provided me /us with a Product Disclosure Statement and a Financial Services Guide, which I /we have read and understood ;
- that I /we understand the financial risks associated with leveraged foreign exchange and bullion trading, including the risk that I /we can lose more money than I /we deposit;
- In the event of any inconsistency between the English language version of this form and the translated equivalent in any other language, the English language version shall prevail, to the extent of any inconsistency.

I/We hereby offer to open an account with HMA on the terms set out in the various documents that constitute this Account Opening Form. I/We understand that if HMA accepts my/our application to use its services, it will confirm acceptance by issuing me/us with written confirmation either by post, email or other electronic means, using the details contained in the relevant Particulars section of the Hantec Markets (Australia) Pty Ltd Account Opening Application. Immediately after HMA has accepted my/our application, HML is also bound to the terms set out in this Account Opening Form.

This document must be signed and returned to HMA's offices prior to you transacting with HMA.

Execution

For Company Account:

SIGNED BY

Date:

Company Name and Registration Number

Signature of Director/Company Secretary

In accordance with the laws applicable to the jurisdiction where this form is signed.

Name of Director/Company Secretary

Signature of Director/Company Secretary

Name of Director/Company Secretary

Signature of Director/Company Secretary

Name of Director/Company Secretary

Company Seal

Certified Extract of Minutes of Resolutions

Date:

Passed by the Directors of

("the Company") on at

1. That a trading account or accounts pursuant to the Hantec Markets (Australia) Pty Limited ("HMA") Terms and Conditions and the HML supplemental customer agreement (collectively, "Terms and Conditions") will be opened and maintained by Hantec Markets (Australia) Pty Limited ("HMA").

2. That

("Authorized Representative(s)") be and is/are hereby authorized to read and agree to be bound by the relevant account opening documents (including Financial Services Guide, Product Disclosure Statement, and Terms and Conditions), and to complete and sign the Account Opening Form, and deliver the same to HMA on behalf of the Company.

3. That the Authorised User(s) as listed below and in the Schedule to this Account Opening Application, together with his/her/their specimen Signature(s) and agreed Signing Arrangement of the FX and Bullion Margin Account shall be as set out in the Schedule to these Resolutions.

4. That Authorised User(s) acts on behalf of my company and is/are hereby authorized to handle/ due/ arrangement the FX and Bullion Margin Account. There is already an agreement between HMA and Authorized User/Trader on communication and transaction execution, which has been proved by the board.

5. That a copy of any resolution of the board of directors if purporting to be certified as correct by the chairman of the meeting at which such resolution was passed and by either the secretary or one other director of the Company shall as between the HMA and the Company be conclusive evidence of the passing of the resolution so certified.

6. That these resolutions be communicated to the HMA and remain in force until expressly revoked and/or amended by resolution passed by the board of directors of the Company and a copy thereof certified by the chairman of the meeting and by either the secretary or one other director of the Company, or certified in such other manner as the HMA may in its absolute discretion accept, shall have sent to and received by the HMA at Level 38, 2 Park Street, Sydney, NSW 2000, Australia or its representative offices. For the avoidance of doubt, it is expressly declared and resolved that any similar resolution given to and/or received by the Office will not revoke terminate and/or affect the validity of these resolutions.

Schedule: Authorized User(s) and Agreed Signing Arrangement

Authorized User(s) (together with his/her/their specimen signature(s) and Agreed Signing Arrangement are particularized as follows:

Particulars of Authorized User(s)	Specimen Signature
Name: <input type="text"/> I.D./Passport No. <input type="text"/> Occupation: <input type="text"/> Address: <input type="text"/> <input type="text"/> <input type="text"/>	
Name: <input type="text"/> I.D./Passport No. <input type="text"/> Occupation: <input type="text"/> Address: <input type="text"/> <input type="text"/> <input type="text"/>	
Name: <input type="text"/> I.D./Passport No. <input type="text"/> Occupation: <input type="text"/> Address: <input type="text"/> <input type="text"/> <input type="text"/>	

Signing Arrangement: Any of the Signature(s) shall be valid.

Company seal

For reference only, not part of Signing arrangement

Chairman of the meeting

Secretary/Director

WE HEREBY CERTIFY the foregoing to be true copy of the resolutions as entered in the minutes book of the Company duly pass at a meeting of the directors of the Company with the required quorum in accordance with the Articles of Association of the Company held on the date as stated above. We also certify that if applicable, the signature(s) in the Schedule above are the specimen signature(s) of the person(s) stated.